## Projector Augmented Wave-based Kohn-Sham Density Functional Theory in *OpenAtom* with *N*<sup>2</sup> *log N* scaling

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## **Goal:** The study of complex heterogeneous systems to discern emergent and new physics and create impact



## **OpenAtom Concept:** Statistical Sampling of Complex Environments is Key to Understanding many Physical Systems.

**Biological function :** enabled by fluctuations in both the environment and the biomolecules.

**Pollutant detection:** requires sampling complex aqueous systems and then exporting the results to a GW/GW-BSE app for computation of spectra.

**Understanding chemical reactions in dense arrays:** requires non-trivial sampling of the full system due to complex many-body reaction paths.



**OpenAtom:** Pimpernel (Martyna), UIUC (Kale) and Yale (Ismail-Beigi) collaborate to build the Electronic Ground and Excited State parallel software and methods including classical and quantum nuclear motion capabilities to realize this vision.

#### **Key Project Accomplishments thus Far:**



#### Electronic Ground State (charm++ parallelization):

**1.** High Parallel Scaling allows study of hydrogen storage in MOF's via Path Integral CPAIMD.

**2.** Exact Exchange N<sup>2</sup> N<sup>1/3</sup> log N (for metals & insulators): 10x speed 32 waters! (SIAM in prep).

Number of nodes (up to 16 cores and 64 threads per node)

**3.** Projector Augmented Wave method in N<sup>2</sup> log N (new results!).



#### Electronic Excited States (charm++ parallelization)

- **1.** High Parallel Scaling for  $O(N^4)$  GW
- **2.** O(N<sup>3</sup>) GW method based on a shredded propagator, complex time formalism

#### Kohn-Sham Density Functional Theory (KS-DFT): A workhorse of computational science.

KS-DFT: Ground state electronic energy expressed exactly as the minimum of a functional of the zero temperature, 1-body density written in terms of

 $\rho(\mathbf{r},\mathbf{r}') = \sum_{I=1}^{N_{KS}} \psi_I(\mathbf{r})\psi_I^*(\mathbf{r}'), \qquad n(\mathbf{r}) = \rho(\mathbf{r},\mathbf{r}), \qquad N_{KS} = (\# \text{ electrons})/2$ 

an orthonormal set of KS states,  $\langle \psi_I | \psi_I \rangle = 2\delta_{II}$ .



Walter Kohn, Nobel Chemistry 1998

**KS Density Functional:** *Sum of* the *kinetic energy* of non-interacting electrons, Hartree energy, electron-ion/external energy and an unknown correction term, exchange correlation energy functional,

$$E[n(\mathbf{r})] = -\frac{\hbar^2}{2m_e} \int d\mathbf{r} \left( \nabla^2 \rho(\mathbf{r}, \mathbf{r}') \right) |_{\mathbf{r}' = \mathbf{r}} + \frac{e^2}{2} \int d\mathbf{r} d\mathbf{r}' \frac{n(\mathbf{r})n(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} + e \int d\mathbf{r} n(\mathbf{r}) V_{ext}(\mathbf{r}; N) + E_{xc}[n(\mathbf{r})], \qquad N = \# \text{ ions, } N_{KS} \sim N.$$

Generalized Gradient Approximation (GGA): Tractable approx. to  $E_{xc}$  $E_{xc}[n(\mathbf{r})] \approx \int d\mathbf{r} \, \varepsilon_{xc}(n(\mathbf{r}), \nabla n(\mathbf{r}))$ 

#### **KS-DFT in OpenAtom**

- OpenAtom: *Plane-wave* (PW) based KS-DFT within the GGA expand KS states in the delocalized PW basis.
- PW-KS-DFT in OpenAtom Advantages:
  - N<sup>2</sup> log N or better scaling of interactions & derivatives -Euler Exponential Spline (EES) Interpolation.
  - $_{\circ}$  Only orthogonalization is  $^{\sim}N^3$  .
  - *High parallelism under charm++*.
  - k-points, path integrals, LSDA & tempering implemented.



 $\psi_I(\mathbf{r})$ 

in D(**h**)

- **PW-KS-DFT** in **OpenAtom Disadvantages**:
  - Large basis set required millions and millions (c.f. Carl Sagan).
  - Large memory required need large machines.
  - *Heavy atoms (impossibly) computationally intensive.*

#### Projector Augmented Wave Method (PAW)

P. E. Blöchl, Phys. Rev. B **50**, 17953 (1994)

• **Projector-Augmented Wave (PAW) :** *accurate* treatment of

*heavy atoms* in KS-DFT with low computational cost.

- PAW-KS-DFT Advantages
  - KS states split into localized and delocalized/smooth parts small basis possible even for heavy atoms.
  - NMR and some other linear response methods require the core PAW makes it *easy*.
  - Small memory requirement.
  - PAW-KS-DFT Disadvantages



- $_{\circ}$  Implemented with inefficient  $N^3$  methods for interactions.
- *Parallel performance* of standard implementations *poor*.
- Accuracy control poor.

**Goal:** Implement N<sup>2</sup> log N EES-based PAW with high parallel efficiency in OpenAtom.

#### PAW Basics: KS states

• KS states: delocalized/smooth part, (S), + localized/core part, (core). Core localized within a sphere of radius  $R_{pc}$  around each ion:

$$\psi_I(\mathbf{r}) = \psi_I^{(S)}(\mathbf{r}) + \sum_{J=1}^N \psi_{IJ}^{(\text{core})}(\mathbf{r}), \qquad \psi_{IJ}^{(\text{core})}(\mathbf{r}) = 0, |\mathbf{r} - \mathbf{R}_J| > R_{pc}$$

• **Smooth:** fills all spaces & varies, *expanded in plane-waves:* 

$$\psi_{I}^{(S)}(s) = \frac{1}{\sqrt{V}} \sum_{g}^{|g| < G_{c}/2} \overline{\psi}_{I}^{(S)}(g) \exp(i\widehat{g}s)$$
$$\psi_{I}^{(S)}(s)$$
$$r = hs, V = \det h, \ g = 2\pi h^{-1}\widehat{g}, \ \widehat{g} \in \text{integer}$$

• **Core:** localized, written in *terms of fixed core projectors*,  $\{\Delta p, p^{(S)}\}^*$ :

 $2R_{nc}$ 

\* 1 ion type, 1 channel for simplicity

#### PAW Basics: Example KS state



#### PAW Basics: KS-DFT within LDA under



Non-interacting electron kinetic energy: Smooth and core terms

$$\begin{split} E_{NIKE} &= E_{NIKE}^{(S)} + E_{NIKE}^{(\text{core1})} + E_{NIKE}^{(\text{core2})} \\ E_{NIKE}^{(S)} &= -\frac{\hbar^2}{2m_e} \int_{D(\hbar)} dr \sum_{I} \left\langle \psi_{I}^{(S)} \middle| \nabla^2 | \psi_{I}^{(S)} \right\rangle, \ E_{NIKE}^{(\text{core1})} &= -\frac{\hbar^2}{2m_e} \sum_{IJ} Z_{IJ}^{(S)} Z_{IJ}^{(\nabla^2 S, \Delta)}, \ E_{NIKE}^{(\text{core2})} &= -\frac{\hbar^2}{2m_e} \sum_{J} Z_{J}^{(S,2)} \langle \Delta p | \nabla^2 | \Delta p \rangle \end{split}$$

Exchange Correlation energy: Smooth and core terms

$$E_{xc} = E_{xc}^{(S)} + E_{xc}^{(\text{core})} = \int_{D(h)} dr \, \varepsilon_{xc} \left( n^{(S)}(r) \right) + \sum_{J} \int_{D(R_{pc})}^{\text{core}} dr \left[ \varepsilon_{xc} \left( n_{J}(r) \right) - \varepsilon_{xc} \left( n_{J}^{(S)}(r) \right) \right]$$

$$n^{(S)}(r) = \sum_{I} |\psi_{I}^{(S)}(r)|^{2}, \quad n_{J}(r) = n^{(S)}(r - R_{J}) + n^{(\text{core1})}(r - R_{J}) + n^{(\text{core2})}(r - R_{J}), \quad n_{J}^{(S)}(r) = n^{(S)}(r - R_{J})$$

$$\forall r \text{ in } D(h) \qquad \forall |r - R_{J}| < R_{pc} \qquad \forall |r - R_{J}| < R_{pc}$$

#### PAW Basics: KS-DFT long/short-range decomposition

Due to the mixed localized and delocalized basis, there is no natural truncation scale for the long-range interactions of  $E_H$  and  $E_{ext}$  in **g**-space or **r**-space alone.

$$E_{H} = \frac{e^{2}}{2} \int_{D(h)} dr \int_{D(h)} dr' \sum_{m} \frac{n(r)n(r')}{|r - r' + mh|} \quad , \quad E_{ext} = -\int_{D(h)} dr n(r) \sum_{J} \sum_{m} \frac{eQ_{J}}{|r - R_{J} + mh|}$$

Using Poisson summation and Ewald's decomposition of 1/r:

$$E_{H} = E_{H}^{(\text{short})} + E_{H}^{(\text{long})} \qquad E_{ext} = E_{H}^{(\text{short})} + E_{H}^{(\text{long})}$$

$$E_{H}^{(\text{short})} = \frac{e^{2}}{2} \int_{D(h)} d\mathbf{r} \int_{D(h)} d\mathbf{r}' \frac{n(\mathbf{r})n(\mathbf{r}') \operatorname{erfc}(\alpha | \mathbf{r} - \mathbf{r}'|)}{|\mathbf{r} - \mathbf{r}'|} \qquad E_{ext}^{(\text{short})} = -e \int_{D(h)} d\mathbf{r} n(\mathbf{r}) \sum_{J} \frac{\operatorname{erfc}(\alpha | \mathbf{r} - \mathbf{R}_{J}|)}{|\mathbf{r} - \mathbf{R}_{J}|}$$

$$E_{H}^{(\text{long})} = \frac{e^{2}}{2V} \sum_{g \neq 0}^{|\mathbf{g}|^{2} < G_{c}} \frac{4\pi}{|\mathbf{g}|^{2}} \exp\left(-\frac{|\mathbf{g}|^{2}}{4\alpha^{2}}\right) |\bar{n}(\mathbf{g})|^{2} \qquad E_{ext}^{(\text{long})} = -\frac{e}{V} \sum_{g \neq 0}^{|\mathbf{g}|^{2} < G_{c}} \frac{4\pi}{|\mathbf{g}|^{2}} \exp\left(-\frac{|\mathbf{g}|^{2}}{4\alpha^{2}}\right) \bar{n}(\mathbf{g}) \bar{S}(\mathbf{g}) + \frac{\pi e \bar{n}(0) \bar{S}(0)}{V \alpha^{2}} + \frac{\pi e \bar{n}(0) \bar{S}(0)}{V \alpha^{2}} + \frac{S(\mathbf{g}) = \sum_{I} Q_{I} \exp(-i\mathbf{g} \cdot \mathbf{R}_{J})$$

Choose  $\alpha$ , such that the *g-s* pace cutoff =  $G_c = pw$  density cutoff. Ensure *r*-space cutoff,  $R_c = (3.5 / \alpha) > R_{pc}$ , confines the *m*-sum to the 1<sup>st</sup> image. Decompose short-range into smooth, core1 and core2 type terms, (not shown).

## Accuracy of long/short decomposition

To approximately match long/short range accuracy:  $\frac{G_c^2}{4} \approx \frac{\gamma^4}{R_c^2}$ ,  $\gamma = \alpha R_c$ 

	$R_c = 4 \text{ bohr}$	
PW cutoff:	$\gamma = \alpha R_c$	erfc(γ)
$(\hbar^2 G_c^2/2\text{me})$ Ryd		
5.1	3.0	2.21e-05
9.4	3.5	7.43e-07
16	4.0	1.54e-08
	$R_c = 2 \text{ bohr}$	]
PW cutoff:	$R_c = 2 \text{ bohr}$ $\gamma = \alpha R_c$	erfc(γ)
PW cutoff: $(\hbar^2 G_c^2/2me)$ Ryd	$R_c = 2 \text{ bohr}$ $\gamma = \alpha R_c$	erfc(γ)
PW cutoff: $(\hbar^2 G_c^2/2me)$ Ryd 20.3	$R_c = 2 \text{ bohr}$ $\gamma = \alpha R_c$ $3.0$	erfc(γ) 2.21e-05
PW cutoff: $(\hbar^2 G_c^2 / 2me)$ Ryd 20.3 37.5	$R_c = 2 \text{ bohr}$ $\gamma = \alpha R_c$ $3.0$ $3.5$	erfc(γ) 2.21e-05 7.43e-07

High accuracy can be obtained with both  $R_c$  and  $G_c$  small !

#### PAW Basics: Multi-Resolution, Grids, EES and N<sup>2</sup> log N scaling How do we reduce scaling by one order in N and maintain accuracy?

1. Discrete real-space: Fourier Coefficients and FFTs

- Given a discrete,  $g = 2\pi h^{-1} \hat{g}$ , finite g-space,  $|g| < G_c$ , the Fourier coefficients,  $\bar{f}(g)$  of f(r), can be converted to  $\overline{f^m}(g)$  from  $f^m(r)$  exactly using an equally spaced *s*-space grid, *r=hs*, of side N<sub>FFT,β</sub> >  $2m\hat{g}_{\max,r,\beta} \Delta s_{\beta} = 1/N_{FFT,\beta}$ .  $\forall m \in Z > 0$
- Using FFTs, the  $\overline{f^m}(\boldsymbol{g})$ , can be computed *exactly* in  $N \log N$  as:  $f(\boldsymbol{s}) = \frac{1}{V} FFT^{(m,+)}[\bar{f}(\boldsymbol{g}), G_c], \quad \overline{f^m}(\boldsymbol{g}) = \frac{V}{N_{\text{FFT}}} FFT^{(m,-)}[f^m(\boldsymbol{s}), mG_c], \quad V = \det \boldsymbol{h}$

2. Euler Exponential Spline Interpolation and FFTs

- To compute the Z-matrices, structure factors, \$\overline{S}(\mathbf{g})\$, and core functions, fast, it is useful develop a differentiable controlled approximation to exp(\$i\mathbf{g} \cdot \mathbf{r})\$ on a discrete \$\mathbf{g}\$-space for all \$\mathbf{r}\$=\mathbf{hs}\$ in D(\$\mathbf{h}\$) via interpolation from an equally spaced \$\mathbf{s}\$-space grid, enabling the use of \$FFTs\$.
- The Euler exponential spline (EES) delivers where  $M_p$  are the cardinal B-splines and p the spline order,  $e^{2\pi i \hat{g}s} = D_p(\hat{g}, N_{\text{FFT}}) \sum_{\hat{s}=0}^{N_{\text{FFT}}} \sum_{i=1}^{p} M_p(u-\hat{s}) e^{\frac{2\pi i \hat{g}\hat{s}}{N_{FFT}}} \delta_{\hat{s},l-j} + O\left(\frac{2\hat{g}}{N_{\text{FFT}}}\right)^p, \quad M_p \text{ has compact supp.}$  $u = s N_{\text{FFT}} \quad l = \text{int } u$

 $N_{\rm FFT} > 2\hat{g}_{\rm max} \approx 2.8\hat{g}_{\rm max}$ 

Using **3 FFT grids**, (1) Psi EES, (2) Density, (3) Density EES, and **1 discrete spherical polar grid** around each ion,  $|\mathbf{r}| < R_{pc}$ , all PAW energy terms & their derivatives can be accurately computed in  $N^2 \log N$ .

#### PAW Basics: g-space to s-space and back



#### PAW Basics: *r*-space interpolation EES provides an accurate, differentiable interpolation between the different resolutions and length scales of PAW



#### Creating the *r*-space representation of the e-density

In the following, the multi-length scale PAW method is used to construct the electron density in  $N^2 \log N$  as a demonstration:

 $n_J^{(\text{core})}(\mathbf{r}_f) = n_J^{(\text{core 1})}(\mathbf{r}_f) + n_J^{(\text{core 2})}(\mathbf{r}_f) + n_J^{(S)}(\mathbf{r}_f) J = 1..N$  $n^{(S)}(\mathbf{r})$ : outside of cores

- (1) Create the smooth KS states in real space,  $\psi_I^{(S)}(s)$ : N<sup>2</sup> log N.
- (2) Create the smooth density in real space,  $n^{(S)}(s)$ :  $N^2$ .
- (3) \*Create the smooth density in the ion cores,  $n_I^{(S)}(r_f)$ :  $N \log N$ .

 $Z_{II}^{(S)}$  :  $N^2 \log N$ .

 $n_{I}^{(\text{core2})}(r_{f}): N^{2}.$ 

 $n_I^{(\text{core1})}(\boldsymbol{r}_f)$ :  $N^2 \log N$ .

- (4) Create the smooth Z-matrix,
- (5) \*Create the core-2 densities,
- (6) \*Create the core-1 densities,

\* New terms.

Formulae for all other components of PAW-DFT have been derived including ionic and pw expansion coefficient derivatives.

## 3. Creating the smooth density, $n_J^{(S)}(\mathbf{r}_f)$ around each ion *J*, on the fine grid, $f \in N_f$



 $N_f$  = number points on spherical-polar grid around each ion.

 $N_f$  and  $N_I^{(f,n)}$  ( $s \in \text{near } J$ ) independent system size.



5. Creating the core density component,  $n_J^{(\text{core2})}(\mathbf{r}_f)$ , around each ion *J*, on the fine grid,  $f \in N_f$ 



# 6. Creating the core density component, $n_J^{(\text{core1})}(\mathbf{r}_f)$ , around each ion *J*, on the fine grid, $f \in N_f$



## PAW Charm++ Implementation Progress:

- Chare arrays defined and communication patterns established in PowerPoint form.
- Full PAW-KS-DFT flow chart for energies. Forces in progress.
- Model Charm++ software outside of OpenAtom to test fine grid spacing, Coulomb cusp smoothing, convergence with realspace cutoff, ... Complete.
- N-partition and N-consolidation operations added to charmFFT. Periodic boundary conditions need to be added.
- Ready to begin integration into OpenAtom. Maybe with new funding.

#### Grand Challenge Application: Perovskite solar cells



## Conclusions

- PAW-KS-DFT is an important method in computational science that allows computations beyond PW-KS-DFT heavy atoms.
- Using EES Interpolation, we have derived a multi-length scale PAW technique that scales as N<sup>2</sup> log N (all energy terms and all derivatives) – an important advance and 100 pages of latex.
- Charm++ parallel framework developed; communication scaling analysis complete. Currently implementing. New funding?

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